# CHILD SAFETY REFERENCE FRAMEWORKS: A POLICY TOOL FOR CHILD INJURY PREVENTION AT THE SUB-NATIONAL LEVEL

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#### **SUMMARY**

Objectives: The aim of this paper is to present the Child Safety Reference Frameworks (CSRF), a policy advice tool that places evidence-based child safety interventions, applicable at the sub-national level, into a framework resembling the Haddon Matrix.

*Method:* The CSRF is based on work done in previous EU funded projects, which we have adapted to the field of child safety. The CSRF were populated following a literature review.

Results: Four CSRF were developed for four domains of child safety: road, water and home safety, and intentional injury prevention.

Conclusion: The CSRF can be used as a reference, assessment and comparative tool by child safety practitioners and policy makers working at the sub-national level.

Key words: child safety, injury prevention, health inequalities, sub-national, inter-sectoral action

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## INTRODUCTION

Europe has some of the highest and lowest injury rates in the world with large differences in injury mortality between high-income countries and low and middle-income countries (1). In 2010, in the European Union intentional and unintentional injury deaths for 0–19 year olds ranged from 5/100,000 in the Netherlands to 24/100,000 in Lithuania (2). Despite large reductions in overall mortality between 2000 and 2010, inequalities between countries are increasing (3). Additionally, there are large inequalities within countries, and the substantial improvements in injury mortality rates in recent years have not been spread equally across society (4–6).

Child injury is a complex, 'wicked' problem (7, 8), and its prevention requires the participation of multiple stakeholders. Actors working across the whole society (public sector, private sector and civil society) and at all levels of government, from the local to international level, have a role to play (9).

In this paper we focus on the sub-national level of governance for child injury prevention for several reasons. First, much attention has been paid to the role of the European and national levels of governance in injury prevention, with encouraging results (10). However, while policies are often developed at the national level,

implementation and enforcement, much of the action, take place at the sub-national or local level – an area of research somewhat understudied.

Second, the capacity of the sub-national level to focus upon the specific needs of its population is an important characteristic. Diverse risk factors for child injury such as socioeconomic position, employment status, parental education, area deprivation, and types of settlement cluster in pockets of society and require tailored action (11–14). Thus, effective action at the sub-national level is required to address regional inequalities in child injury rates.

Third, the role of the sub-national level for public health is increasing in many countries due to decentralization (15, 16). But, efficient delivery of child injury interventions at the sub-national level may be hindered due to a lack of leadership, infrastructure and capacity (15, 17).

In this paper we describe the development of a policy advice tool, applicable at the sub-national level, named Child Safety Reference Frameworks (CSRF). The study was part of the European Commission funded project, Tools to Address Childhood Trauma and Children's Safety (TACTICS). The CSRF list evidence-based interventions, applicable at the sub-national level, covering four domains of child safety: road, home and water safety, and intentional injury prevention.

#### MATERIALS AND METHODS

## **Haddon Categories and Design of CSRF**

The design and use of the CSRF is built upon the Haddon Matrix (18) and was first used in a regional health management project in Spain (19). It was further refined in the European commission funded project "Benchmarking Regional Health Management II" (BEN II) (20). Using the experiences of Peiro et al. and Brand et al. (19, 20) we refined the methodology and applied it to child safety at the sub-national level.

We developed a CSRF for each of four domains of child safety: water safety, road safety, home safety, and prevention of intentional injury. To identify suitable interventions to populate the four CSRF, each domain was clearly defined for scope. The following definitions were used:

- Road safety: prevention of car/bus, pedestrian, bicycle, moped/ motor scooter, all terrain vehicle (ATV), and farm vehicle injuries;
- Home safety: prevention of falls (within the home and related to public/school playground equipment), burns/scalds, poisoning, choking/strangulation, drowning in the home (e.g. in a bathtub);
- Water safety: prevention of drowning in pools (public and private) or open water and beach safety;
- Intentional injury: prevention of abuse/neglect, violence, suicide, and self-harm.

We used the definition of good practice as outlined in the European Child Safety Alliance (ECSA) Child Safety Good Practice Guide (21) to guide the selection of appropriate evidence-based interventions.

- "An intervention that has been evaluated and found to be effective (either through a systematic review or at least one rigorous evaluation); or
- An intervention where rigorous evaluation is difficult but expert opinion supports the practice and data suggest it is an effective policy/intervention (e.g. use of personal floatation devices to prevent drowning); or
- An intervention where rigorous evaluation is difficult but expert opinion supports the practice and there is a clear link between the policy/intervention and reduced injuries (e.g. secure storage of poisoning); and
- The intervention has been implemented in a real world setting so that the practicality of the intervention has also been examined."

The CSRF is applicable to the sub-national level of governance. Within Europe there are diverse jurisdictional differences regarding the distribution of political power between national, sub-national and local levels. Therefore, we developed the following inclusion criteria in attempt to accommodate these differences without being too broad.

We defined a sub-national level intervention for the purposes of the CSRF as:

"An intervention that is implementable, enforceable or possible to monitor on the sub-national (land, province, department) or local level (city, municipality, commune). This includes interventions where the decision to implement is made at the national level but responsibility for method of implementation or enforcement lies at the sub-national or local levels."

This definition excludes manufacturing standards such as car safety devices (e.g. airbags), as these are generally developed, implemented and enforced by national or European bodies. Legally banned items such as dangerous toys were excluded for the same reason. Legislative interventions such as laws mandating bicycle helmets were included in the CSRF where either passing laws at a sub-national level was possible or enforcement is carried out at the sub-national level. Clinical recommendations for the treatment of injuries were excluded, except where implementation could lead to prevention (e.g. guidelines regarding diagnosis of physical abuse that can protect the child from further abuse).

To be included in the CSRF interventions needed to conform to both the definition of evidence based good practice and be applicable at the sub-national level.

#### Literature Review

A literature search for review articles was conducted in February 2012 using the PubMed and Cochrane Database. Search terms used included 'injury' and 'prevention', as both mesh terms and free text. The search was limited to children (0–18 years) and to review articles published in the last 10 years in English, French and German.

The search yielded 733 results, after excluding irrelevant articles 227 remained, these were reviewed in greater detail for interventions meeting the criteria described above. Reference lists of included articles were also searched for additional publications not picked up in the initial search, but these did not yield any other interventions. Finally, interventions outlined in the following 'core' documents were also included: the European Child Safety Alliance (ECSA) Child Safety Good Practice Guide (21) (including the 2010 Addendum) (22), ECSA Child Safety Report Card 2012: Europe Summary (23), ECSA National Action to Address Child Intentional Injury – 2014 (24), WHO European and World reports on unintentional child injury (25, 26), and the WHO world report on violence and health (27).

## **Populating Four CSRF Tables**

Interventions were assigned to the appropriate row in the CSRF tables using Haddon's definitions of time phase (pre-event, event and-post event):

- Pre-event: interventions designed to prevent the injury event from occurring (e.g. separation of pedestrian walkways from roads);
- Event: interventions designed to protect host (minimise energy exchange) in the event of an injury (e.g., bicycle helmets, surfacing materials under public playground equipment);
- Post-event: interventions designed to reduce the impact and maximise salvage (e.g., poison control centres, child helplines) (18).

When assigning the interventions to the appropriate column we modified the definitions of host, agent and environment slightly by assigning them based on who or what the intervention targeted or whose behaviour it attempted to change. The headings of the columns were defined as follows:

 Host: interventions targeting the person at risk of injury. In the case of home injury, parents/caregivers were included in this column to account for the importance of high quality care and supervision to prevent injuries;

- Agent: interventions targeting the agent/means of/vehicle transferring the energy (e.g., car, gun, assailant, water);
- Physical environment: interventions targeting the physical characteristics surrounding the event (e.g., road, building, playground);
- Social environment: interventions targeting the social environment surrounding the event including all laws/legislation (e.g. laws regarding vehicle speed) as well as the existence of committees, practice guidelines, surveillance, etc.

The CSRF were reviewed and validated by the Scientific Committee of TACTICS and selected experts. The four finalised CSRF addressing evidenced-based interventions at the sub-national level are presented in Tables 1, 2, 3 and 4.

#### RESULTS

Overall, 127 interventions were identified: 27 in road safety, 26 in home safety, 23 in water safety, and 51 in intentional injury prevention. 71% of interventions fell into the pre-event phase, 11% into the event phase and 18% into the post-event phase. There were no interventions identified in any domain for two cells: event/policies targeting the agent and post-event/policies targeting the physical environment. The distribution of interventions over the time-phases varies by injury domain. In road and water safety most of the interventions fell into the pre-event phase (17 and 18, respectively) followed by the event phase (9 and 1) and finally the post-event phase (1 each). In home safety and intentional injury prevention most of the interventions were also in the pre-event phase (23 and 32, respectively). In the event phase, there was one intervention in home safety and none in intentional injury prevention. In the post-event phase, there were 2 in home injury and 19 in intentional injury prevention.

#### **Application of Frameworks**

In order to apply the CSRF to a sub-national region, a questionnaire was developed and validated in collaboration with partners of the TACTICS project from 6 countries. To get a more nuanced overview of activities in the area of child injury prevention at the sub-national level the questionnaire asks two things: whether the intervention is in place in the territory in question, and the estimated percentage of the target population covered by the intervention. For assessment purposes the intervention had to be implemented in the territory in question and covering at least 75% of the target population to be considered implemented.

## Rapid Appraisal

After inputting the quantitative data from the questionnaire the user can conduct a rapid appraisal of child injury prevention activities in the territory in question. For each cell of the CSRF the number of interventions implemented (and reaching more than 75% of the target population) is counted and then calculated as a percentage of the total number of interventions for that cell. Using the software Microsoft Excel, conditional formatting is applied to the percentages assigning a shade of grey, with white (no

colour) representing 0% of interventions implemented and dark grey representing 100% of interventions implemented (Table 5).

#### DISCUSSION

The CSRF were developed for practitioners and policy makers working in child injury prevention at the sub-national level as a reference, assessment and comparative tool. As a reference tool the CSRF can help bridge the gap between research and practice by providing accessible information on sub-national level evidence-based interventions. In a second step the CSRF and questionnaire can be used to assess which interventions are already in place in the territory and to what extent they cover the target population. The results can then be used to perform a rapid appraisal providing a visual representation of the state of affairs – showing where interventions are in place and where there are gaps (Table 5). Finally the CSRF can be used to compare the situation within a territory in terms of intervention coverage, over time and from territory to territory (nationally and internationally).

The applicability of the CSRF to the sub-national level is important due to the influence of this level of governance on injury prevention, such as in environment modification (25, 26). In a situation where political power may not be matched by a deep understanding of child safety issues, the CSRF as a reference tool could complement the policy maker or practitioner's knowledge of local geography and populations with information on evidence based solutions. However, the interventions included in the CSRF are not weighted by effectiveness, making it difficult, based on the CSRF alone, to choose among them. Similarly, some interventions were only found to be effective when implemented in parallel with others, e.g. a multi-faceted approach such as media campaign and speed limit enforcement. Though, as a rule, a combination of approaches is usually found to be most effective and is therefore recommended (21).

The component of the questionnaire regarding the level of implementation (percentage of target population coverage) of the intervention is an improvement of the previous Reference Framework methodology (28). It draws attention to the importance of equitable intervention coverage (proportionate universalism) (29) to address health inequalities and highlights interventions with low population coverage. The time required to complete the questionnaire, especially finding population coverage data, is a challenging aspect of the application of the CSRF. Though one could argue that if it is difficult or impossible to verify an intervention's existence and the extent to which it is implemented there is a clear gap in monitoring and evaluation – a valuable finding itself.

The questionnaire requires input from stakeholders in diverse sectors, which could also slow down the data collection process. Nevertheless, inter-sectoral action is a vital component of child injury prevention, thus, completing the questionnaire could be a good opportunity for practitioners and policy makers to build or improve their professional network. Equally, notwithstanding the length of time required to complete the questionnaire, once the data are placed into the CSRF the visual accessibility of the rapid appraisal reduces the time required to transmit the results to other stakeholders, leaving more time to discuss how to move forward. Additionally, users are not required to complete CSRF

Table 1. CSRF – Road safety

	1	2	3	4
Road safety	Policies and interventions targeting the host (child)	Policies and interventions targeting the agent (vehi- cle/driver)	Policies targeting the physical environment	Policies targeting the social environment
Pre-event	Pedestrian skills training to improve child pedestrian road crossing skills  Cycling skills training for children  Media campaign at least once in past five years targeting child and adolescent pedestrian, passenger and cycling safety	Regular alcohol sobriety checkpoints  School-based programmes for reduced drinking and driving  Education regarding risks of driver fatigue  Limit on engine size (125cc) for learner moped riders	Existence of traffic calming measures (e. g. speed bumps)  Separation of pedestrian walkways from roads  Presence of cycling lanes or pathways	Enforcement of drink driving law  Enforcement of curfew laws to restrict teenage driving at night  Enforcement of legislation reducing vehicle speeds in residential areas and school zones  Enforcement of law preventing children younger than 16 from riding on all terrain vehicles (ATV)  Regional ministry/government department with mandated responsibility for child and adolescent pedestrian, passenger/driver, motorcycle and cycling safety  Government approved regional injury prevention strategy with specific targets and timelines related to child and adolescent pedestrian, passenger/driver, motorcycle and cycling safety  Policy that increases access to child passenger restraint systems (CPRS) for disadvantaged families CPRS included as essential child-care articles or taxed at lower rate or subsidies offered through programmes targeting disadvantaged families
Event	Interventions combining information dissemination on child passenger restraint safety with enhanced enforcement campaigns  Interventions combining child passenger restraint distribution, loaner programmes or incentives with education programmes  Regional/community or school based education approaches including free provision of bicycle helmets			Enforcement of seat-belt laws  Enforcement of law mandating child passenger restraints  Enforcement of law requiring children to remain seated in rear facing car seats until 4 years of age  Enforcement of law requiring children and adolescents to remain seated in the back seat of a motor vehicle until 13 years of age  Enforcement of law requiring children up to 18 years of age to wear bicycle helmets  Enforcement of legislation mandating helmets for mopeds, motor scooters and ATVs
Post-event				Surveillance systems including child road injuries (based on emergency or police data) that can direct prevention efforts to injury types resulting in the greatest local burden

Table 2. CSRF – Home safety

	1	2	3	4
Home safety	Policies and interventions targeting the child/child's supervisor	Policies and interventions targeting the agent (chemicals, cigarettes, water temperature etc.)	Policies and interventions targeting the physical envi- ronment (e.g., housing, child care equipment)	Policies and interventions targeting the social environment
Pre-event	Educational programmes encouraging use of fall prevention safety devices (e. g., window safety mechanisms, stair gates)  Fire safety skills training to increase knowledge and behaviour of both children and parents  Home safety educational interventions promoting the installation of smoke alarms and supplying alarm  Education/advocacy campaigns around the safe use of fireworks  Home safety education for parents on the safe storage of harmful chemicals including provision of safety materials  Individual-level education/counselling for parents on unintentional childhood injury prevention in the clinical setting  Home safety counselling programmes for parents (on prevention of falls, poisoning, burn etc.)  Home based social support, such as home visiting programmes for new parents  Media campaign at least once in past five years targeting child and adolescent fall, poisoning, scald/burn and choking prevention	Home safety interventions for parents promoting a safe hot water exit temperature	Building codes requiring working smoke detectors in all public buildings (e.g., hospitals, schools and kindergartens)  Smoke detector give away programmes targeting high-risk neighbourhoods and multi-faceted community campaigns with specific objective of installation of smoke detectors  Non-voluntary building codes for new dwellings (legal standards to address hazards related to falls, fire injuries, other thermal injuries, collisions, entrapment, cutting and piercing, drowning, electrocution and poisoning)  Non-voluntary building codes for existing dwellings (legal standards to address hazards related to falls, fire injuries, other thermal injuries, collisions, entrapment, cutting and piercing, drowning, electrocution, and poisoning)  Policy that increases access to childcare safety equipment, such as stair gates, for disadvantaged families (e.g. regional equipment give-away/loaner programmes)	Enforcement of law requiring environmental changes to windows in all buildings with more than one storey (e.g. window guards or locks)  Enforcement of law requiring safe design of guardrails for all private and public buildings to prevent falls from balconies and stairs  Enforcement of legislation requiring safe tap water temperature  Enforcement of law controlling the sale of fireworks  Enforcement of legislation requiring installation of smoke detectors in new and existing houses  Government approved regional injury prevention strategy with specific targets and timelines related to child and adolescent fall, poisoning, burn/scald and choking prevention  Incorporation of injury prevention and control into the comprehensive nursing care of children, families and communities  Regional ministry/government department with mandated responsibility for child and adolescent fall/scald/burn/choking prevention
Event				depth of specified types of surfacing materials under public playground equipment and regular maintenance of those material
Post-event				Poison control centres with education of public regarding the use of centre  Surveillance systems including child home injuries (based on emergency data) that can direct prevention efforts to those injuries resulting in the greatest local burden

Table 3. CSRF - Water safety

	1	2	3	4
Water safety	Policies and interventions targeting the child/child's supervisor	Policies and interventions targeting swimming pool design	Policies and interventions targeting the physical environment including presence of lifeguards	Policies and interventions targeting the social environment
Pre-event	Water safety skills training for children (including swimming lessons after the age of 5 years) to improve swimming performance  Community-based education/advocacy to increase personal floating devices use  Parental education on importance of supervision  Programme of child home visits that includes education for parents on child water safety  Media campaign at least once in past five years targeting child and adolescent water safety	Enforcement of safety standards for public swimming pools, e.g., water depth markings, step edges marked with contrasting colours, onsite safety equipment, suction outlet covers and chemical standards	Existence of safe crossings over open bodies of water such as canals and irrigation ditches  Well-marked swimming areas free of hazards  Existence of water collection container covers (e.g. wells) with heavy grills  Policy requiring qualified risk assessment of all designated public water recreational areas (e.g. assessment conducted by qualified inspector)  Investment programme to renew infrastructure to provide equitable access to public swimming pools for swimming lessons for school age children  Signage around water displayed using clear and simple pictogram warning signs	Enforcement of law requiring mandatory use of personal floatation device/lifejacket by children while on the water (e.g., while boating, sailing, etc.)  Enforcement of legislation requiring isolation fencing with secure, self-latching gates for all pools, public, semi-public and private including both newly constructed and existing pools  Policy governing water safety for leisure/recreational programming at the community level (e.g., minimum levels of supervision, training or safety equipment, etc.)  Policy making water safety education, including swimming lessons, a compulsory part of the school curriculum  Ministry/government department with mandated responsibility for child and adolescent water safety  Government approved regional injury prevention strategy with specific targets and timelines related to child and adolescent water safety
Event	Training for parents and caregivers in infant and child CPR		Existence of adequately qualified, trained and equipped lifeguards	Enforcement of law stating minimum number of lifeguards required on beaches or other areas designated for water leisure activities  Enforcement of law stating minimum number of lifeguards required at public pools
Post-event				Surveillance systems (based on emergency data/lifeguard incidence reporting) that can direct prevention efforts to those injuries resulting in the greatest local burden

for all four domains of child safety at one time, they can be done as separate assessments.

Inequalities in child injury rates, within and between countries, demonstrate the opportunity and necessity for good practice to cross borders to successfully reduce child injury rates. The CSRF provides a platform and context to compare and learn from other regions.

#### **CONCLUSION**

This policy tool, designed for child injury prevention practitioners and policy makers at the sub-national level, can be used as a reference, measurement and comparative tool. Additionally, the CSRF may provide opportunities for inter-sectoral networking, knowledge exchange and capacity building. We hope that this will encourage greater uptake of evidence based child safety interventions at the sub-national level, thereby improving child safety and reducing inequalities both within and between countries.

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Complete versions of the 4 CSRF, including citations and references, are available at http://www.childsafetyeurope.org/tactics/info/child-safety-reference-frameworks.pdf.

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## **Conflict of Interests**

None declared

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Table 4. CSRF – Intentional injury prevention

	1	2	3	4
Intentional injury	Policies and interventions targeting the child	Policies and interventions targeting the agent (including perpetrators of violence and weapons)	Policies and interventions targeting the physical environment	Policies and interventions targeting the social environment
Pre-event	Child sexual abuse prevention programmes – teaching children about body ownership, abusive situations  School based programmes to prevent violence (building upon youth's social competencies and skills)  School based suicide prevention programmes (to improve knowledge, attitudes and in some cases help-seeking behaviour)  Media campaign increasing awareness regarding maltreatment and its prevention in last 5 years  Media campaign increasing awareness regarding bullying and its prevention in last 5 years  Media campaign increasing awareness regarding suicide/self-harm and prevention  Existence of programmes providing information about drug abuse  Existence of adult-supervised after-school programmes for school-age children  Extra-curricular activities such as sports and recreation, art, music, and drama for school age children  Policy stating that life skills education (including coping skills, interpersonal communication, goal setting, anger management, and advocacy skills) a mandatory part of school curriculum	Early childhood development programme  Parental education about abusive head trauma (shaken baby syndrome)  Existence of programmes to improve parents' child rearing skills, knowledge of child development and encourage positive child management strategies  Existence of support groups to strengthen parents' social network  Existence of services for adults abused as children (mental health referral)  Gang prevention programmes  Existence of home visitation programme that focuses on families at-risk of violence against children  Existence of gun control policies such as "gun buyback" and weapon amnesties	Incorporation of principles of "Crime Prevention Through Environmental Design" (CPTED) in town planning  Existence of policies regarding safe routes to schools  Availability of affordable childcare facilities	Enforcement of law prohibiting corporal punishment in the home and at school  Violence prevention policy that specifically addresses child maltreatment/neglect  Regional government contact or focal point has been identified for intentional child injury  Regional ministry/government department responsible for coordination of violence prevention  Violence prevention strategy that specifically addresses interpersonal violence between children and youths (e.g. bullying)  Policy requiring schools to have committees to address violence in the family and school environment, including bullying  Community policing  Regional government led national injury prevention strategy with specific targets for the prevention of intentional injury/violence against children  Self-directed violence prevention policy  Ombudsman office(s) with special mandate to protect children's rights  Regional child protection system that assures inter-agency and inter-departmental coordination and cooperation with processes, procedures and protocols and data-collection

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	1	2	3	4
Intentional injury	Policies and interventions targeting the child	Policies and interventions targeting the agent (including perpetrators of violence and weapons)	Policies and interventions targeting the physical environment	Policies and interventions targeting the social environment
Post-event	Existence of services for victims of physical abuse (therapeutic day care, emphasis on improving cognitive and developmental skills)  Policy that prescribes that all victims of child maltreatment receive intervention/treatment  Existence of publicly funded child helpline  Existence of services for children who witness violence  Regional policy on support and assistance for children who are victims of violence/maltreatment to ensure their access to justice (e.g., child-friendly investigation, interview and court proceedings to avoid secondary or repeated victimisation, child-sensitive procedures)	Existence of intensive family preservation services		Enforcement of law mandating reporting by professionals of suspected child maltreatment/ neglect case  Medical guidelines for radiologists to diagnose child abuse  Multi-disciplinary teams (child protective services, police, medical examiners, forensic paediatricians) to evaluate cases of death or near death in children  Child protection services  Child protection committee in hospitals  Checklist to help ED nurses diagnose suspected cases of abuse  CME for doctors and nurses to identify signs of maltreatment, neglect and abuse  Regional policy requiring specific police units and/or specified mandatory training for police officers who interact with children or deal with children who are victims of violence  Guidelines regarding the reporting of suicide in the news  Media campaign to increase disclosure of child abuse and neglect  Regional legislation or policy to protect the identity of child victims  Data that would allow national estimate of prevalence of suicide/self-directed violence in children and youths  Data that would allow regional estimate of prevalence of child

Table 5. Fictitious example of a rapid appraisal for road safety

Road	Interventions targeting the host (child)	Interventions targeting the "agent" (vehicle/driver)	Interventions targeting the physical environment	Interventions targeting the social environment
Pre-event		75% 3/4	100% 3/3	43% 3/7
Event	33% 1/3	zero interventions identified	zero interventions identified	50% 3/6
Post-event	zero interventions identified	zero interventions identified	zero interventions identified	100% 1/1

Conditional formatting is applied to the percentages assigning a shade of grey, with white representing 0% of interventions implemented and dark grey representing 100% of interventions implemented.

Leaend:
| 0% | 20% | 40% | 60% | 80% | 100% |

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